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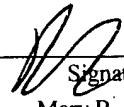
ATTORNEY DOCKET No.: 2003080-0205 (SK-1071-US2; D-3406-04(US))

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Danishefsky *et al.* Examiner: TBA
Serial No: 10/551,152 Group Art Unit: TBA
Filing Date: September 28, 2005 Conf. No.: 4442
Corresp. to: PCT/US2004/009380
Int. Filing Date: March 26, 2004
Priority: March 28, 2003
Title: MIGRASTATIN ANALOGS AND USES THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Certificate of Mailing	
I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.	
October 5, 2006	
Date	Signature Mary B. Wilson
Typed or Printed Name of person signing certificate	

TRANSMITTAL LETTER

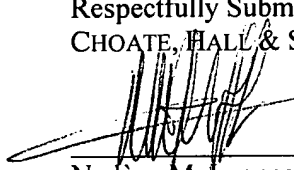
Enclosed **for filing** in the above-referenced patent application, please find the following documents:

1. Establishing Right of Assignee to Take Action (37 CFR §3.73 (b)) from Sloan-Kettering Institute for Cancer Research (2 pp) with a copy of the Recorded Assignment attached (13 pp);
2. Establishing Right of Assignee to Take Action (37 CFR §3.73 (b)) from Cornell Research Foundation, Inc. (2 pp) with a copy of the Recorded Assignment attached (5 pp);
3. Appointment of Attorney from Sloan Kettering Institute for Cancer Research (1 pg);
4. Written Assertion of Small Entity Status Under 37 CFR §1.27 (a)(3) Non-Profit Organization from Sloan Kettering Institute for Cancer Research (2 pp);
5. Written Assertion of Small Entity Status Under 37 CFR §1.27 (a)(3) Non-Profit Organization from Cornell Research Foundation, Inc. (2 pp); and
6. Return-Receipt Postcard.

Please charge any additional fees associated with this filing, or apply any credits, to our Deposit Account No. 03-1721.

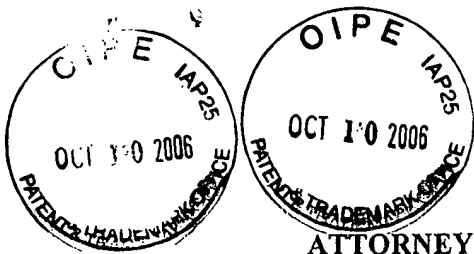
Respectfully Submitted,
CHOATE, HALL & STEWART LLP

Date: October 5, 2006


Nadège M. Lagneau, Ph.D.
Reg. No. 51,908

PATENT DEPARTMENT
CHOATE, HALL & STEWART LLP
Two International Place
Boston, Massachusetts 02110
Telephone: (617) 248-5000
Facsimile: (617) 248-4000

U.S.S.N: 10/551,152
4130153v1



ATTORNEY'S DOCKET NUMBER: 2003080-0205 (SK-1071-US2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Danishefsky, *et al.* Examiner: Not yet assigned
Serial No.: 10/551,152 Art Unit: Not yet assigned
Filing Date: September 28, 2005 Conf. No: Not yet assigned
Title: MIGRASTATIN ANALOG COMPOSITIONS AND USES
THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION
(37 CFR § 3.73(b))

The inventors of the above-referenced United States patent application have assigned their entire right, title, and interest in the inventions disclosed therein according to the following table:

Inventor	Assignee
Samuel J. Danishefsky	Sloan-Kettering Institute for Cancer Research
Christoph Gaul	Sloan-Kettering Institute for Cancer Research
Jón T. Njardarson	Sloan-Kettering Institute for Cancer Research
Xin-Yun Huang	Cornell Research Foundation, Inc.

Thus, the Assignee of the inventors' entire right, title, and interest are:

SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH; and
CORNELL RESEARCH FOUNDATION, INC.

According to 37 CFR § 3.73(b), the Assignee is entitled to take action relating to the application in the Patent and Trademark Office if the Assignee establishes ownership to the satisfaction of the Commissioner.

Ownership by the assignee is established as follows:


_____ documents already recorded in the PTO on _____.

Reel _____ Frame _____; and

X documents separately submitted for recordal to the PTO (a copy of these documents is attached).

STATEMENT

I, person(s) authorized to sign on behalf of the Assignee, have reviewed the evidentiary documents referred to above and certify that, to the best of my knowledge and belief, title is mine/ours as Assignee who seeks to take further action.

Name/Title	Assignee	Date
Signature:  Name: Gustave J. Bernhardt Title: Director, Research Resources Management	Sloan-Kettering Institute for Cancer Research 1275 York Avenue New York, New York 10021	9/27/06
Name/Title	Assignee	Date
Signature: _____ Name: _____ Title: _____	Cornell Research Foundation, Inc. 20 Thornwood Drive, Suite 105 Ithaca, NY 14850	_____

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEPTEMBER 19, 2006

PTAS

500153300A***500153300A***NADEGE M. LAGNEAU
TWO INTERNATIONAL PLACE
BOSTON, MA 02110UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 09/19/2006

REEL/FRAME: 018273/0438
NUMBER OF PAGES: 11BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: 2003080-0205ASSIGNOR:
DANISHEFSKY, SAMUEL J.

DOC DATE: 09/15/2006

ASSIGNOR:
GAUL, CHRISTOPH

DOC DATE: 09/12/2006

ASSIGNOR:
NJARDARSON, JON T.

DOC DATE: 09/18/2006

ASSIGNEE:
SLOAN-KETTERING INSTITUTE FOR
CANCER RESEARCH
1275 YORK AVENUE
NEW YORK, NEW YORK 10021

018273/0438 PAGE 2

SERIAL NUMBER: 10551152

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF

ASSIGNMENT SERVICES BRANCH

PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

09/19/2006
500153300

SUBMISSION TYPE:	NEW ASSIGNMENT										
NATURE OF CONVEYANCE:	ASSIGNMENT										
CONVEYING PARTY DATA											
<table border="1"><thead><tr><th>Name</th><th>Execution Date</th></tr></thead><tbody><tr><td>Samuel J. Danishefsky</td><td>09/15/2006</td></tr><tr><td>Christoph Gaul</td><td>09/12/2006</td></tr><tr><td>Jon T. Njardarson</td><td>09/18/2006</td></tr></tbody></table>		Name	Execution Date	Samuel J. Danishefsky	09/15/2006	Christoph Gaul	09/12/2006	Jon T. Njardarson	09/18/2006		
Name	Execution Date										
Samuel J. Danishefsky	09/15/2006										
Christoph Gaul	09/12/2006										
Jon T. Njardarson	09/18/2006										
RECEIVING PARTY DATA											
<table border="1"><tr><td>Name:</td><td>Sloan-Kettering Institute for Cancer Research</td></tr><tr><td>Street Address:</td><td>1275 York Avenue</td></tr><tr><td>City:</td><td>New York</td></tr><tr><td>State/Country:</td><td>NEW YORK</td></tr><tr><td>Postal Code:</td><td>10021</td></tr></table>		Name:	Sloan-Kettering Institute for Cancer Research	Street Address:	1275 York Avenue	City:	New York	State/Country:	NEW YORK	Postal Code:	10021
Name:	Sloan-Kettering Institute for Cancer Research										
Street Address:	1275 York Avenue										
City:	New York										
State/Country:	NEW YORK										
Postal Code:	10021										
PROPERTY NUMBERS Total: 1											
<table border="1"><thead><tr><th>Property Type</th><th>Number</th></tr></thead><tbody><tr><td>Application Number:</td><td>10551152</td></tr></tbody></table>		Property Type	Number	Application Number:	10551152						
Property Type	Number										
Application Number:	10551152										
CORRESPONDENCE DATA											
Fax Number: (617)248-4000 <i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>											
Phone: 617-248-5150											
Email: mwilson@choate.com											
Correspondent Name: Nadege M. Lagneau											
Address Line 1: Two International Place											
Address Line 4: Boston, MASSACHUSETTS 02110											
ATTORNEY DOCKET NUMBER:	2003080-0205										
NAME OF SUBMITTER:	Nadege Lagneau										
Total Attachments: 9 source=MSKCC 0205 Assignment#page1.tif source=MSKCC 0205 Assignment#page2.tif											

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ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned **Samuel J. Danishefsky** of Englewood, NJ, **Christoph Gaul** of Liestol, Switzerland, and **Jón T. Njardarson** of Ithaca, NY hereby

Sells, assigns and transfers to **SLOAN-KETTERING INSTITUTE FOR CANCER RESEARCH**, having a place of business at 1275 York Avenue, New York, New York 10021, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled "**MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF**" as follows:

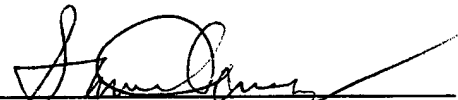
- 1) U.S.S.N. 10/551,152, filed September 28, 2005 Under 35 U.S.C. §371; and
- 2) PCT/US2004/009380, filed March 26, 2006 in the RO/US.

in and to said application and all divisional, continuing, substitute, renewal, reissue, reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful declarations, oaths, assignments and other papers; by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE. Each of the undersigned also hereby appoints ASSIGNEE as its common agent for purposes of prosecuting international patent applications and any material patent applications for which such common agency is recognized.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.


Name: **Samuel J. Danishefsky**

9/15/06
Date

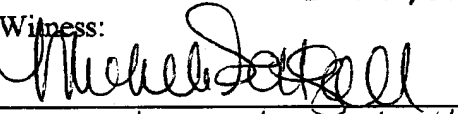
Witness:


Name:

9/15/06
Date

QUATHEK OVERFELL

Witness:


Name: **Michele Schmittgall**

9/15/06
Date

Name: **Christoph Gaul**

Date

Witness:

Name:

Date

Witness:

Name:

Date

Name: **Jón T. Njardarson**

Date

Witness:

Name:

Date

Witness:

Name:

Date

Serial No.: 10/551,152

Page 3 of 3

4106684v1

Attorney Docket No.: 2003080-0205
Client Reference No.: SK-1701-US2

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, each of the undersigned **Samuel J. Danishefsky** of Englewood, NJ, **Christoph Gaul** of Liestol, Switzerland, and **Jón T. Njardarson** of Ithaca, NY hereby

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Serial No.: 10/551,152

Attorney Docket No.: 2003080-0205
Client Reference No.: SK-1071-US2

Name: **Samuel J. Danishefsky**

Date

Witness:

Name:

Date

Witness:

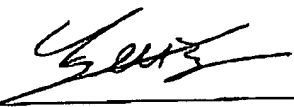
Name:

Date


Name: **Christoph Gaul**

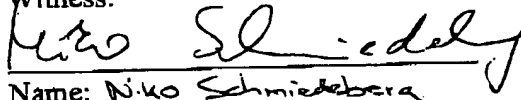
09/12/2006
Date

Witness:


Name: **Francois Gessier**

09/12/2006
Date

Witness:


Name: **Niko Schmiedeberg**

12-Sep-2006
Date

Serial No.: 10/551,152

Attorney Docket No.: 2003080-0205
Client Reference No.: SK-1071-US2

Page 2 of 4

4106684v1

Name: **Jón T. Njardarson**

Date

Witness:

Name:

Date

Witness:

Name:

Date

Serial No.: 10/551,152

Page 3 of 3

4106684v1

Attorney Docket No.: 2003080-0205
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Serial No.: 10/551,152

Attorney Docket No.: 2003080-0205
Client Reference No.: SK-1071-US2

Name: Samuel J. Danishefsky

Date

Witness:

Name:

Date

Witness:

Name:

Date

Name: Christoph Gaul

Date

Witness:

Name:

Date

Witness:

Name:

Date

Serial No.: 10/551,152

4106684v1

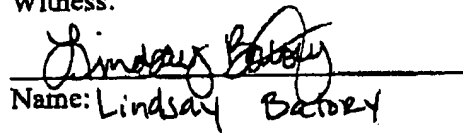
Page 2 of 4

Attorney Docket No.: 2003080-0205
Client Reference No.: SK-1071-US2


Name: Jón T. Njardarson

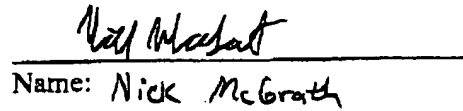
9/18/2006
Date

Witness:


Name: Lindsay Babey

9/18/06
Date

Witness:


Name: Nick McGrath

9/18/06
Date



ATTORNEY'S DOCKET NUMBER: 2003080-0205 (SK-1071-US2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Danishefsky, *et al.* Examiner: Not yet assigned
Serial No.: 10/551,152 Art Unit: Not yet assigned
Filing Date: September 28, 2005 Conf. No: Not yet assigned
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THEREOF

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Samuel J. Danishefsky	Sloan-Kettering Institute for Cancer Research
Christoph Gaul	Sloan-Kettering Institute for Cancer Research
Jón T. Njardarson	Sloan-Kettering Institute for Cancer Research
Xin-Yun Huang	Cornell Research Foundation, Inc.

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Reel _____ Frame _____; and

☒ documents separately submitted for recordal to the PTO (a copy of these documents is attached).

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Name/Title	Assignee	Date
Signature: _____ Name: _____ Title: _____	Sloan-Kettering Institute for Cancer Research 1275 York Avenue New York, New York 10021	_____
Name/Title	Assignee	Date
Signature: <u>Brian Kelly</u> Name: <u>Brian Kelly</u> Title: <u>Vice President</u>	Cornell Research Foundation, Inc. 20 Thornwood Drive, Suite 105 Ithaca, NY 14850	<u>7/31/2006</u>

**UNITED STATES PATENT AND TRADEMARK OFFICE**UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 03, 2006

PTAS

500159622A***500159622A***NADEGE M. LAGNEAU, PH.D.
TWO INTERNATIONAL PLACE
BOSTON, MA 02110UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

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RECORDATION DATE: 10/03/2006

REEL/FRAME: 018340/0972

NUMBER OF PAGES: 3

BRIEF: CORRECTIVE ASSIGNMENT TO CORRECT THE ASSIGNEE'S ADDRESS
PREVIOUSLY RECORDED ON REEL 018273 FRAME 0504. ASSIGNOR(S)
HEREBY CONFIRMS THE ASSIGNMENT.

DOCKET NUMBER: 2003080-0205

ASSIGNOR:

HUANG, XIN YUN

DOC DATE: 07/31/2006

ASSIGNEE:

CORNELL RESEARCH FOUNDATION, INC.
20 THORNWOOD DRIVE
SUITE 105
ITHACA, NEW YORK 14850

SERIAL NUMBER: 10551152

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF

018340/0972 PAGE 2

TARA WASHINGTON, EXAMINER
ASSIGNMENT SERVICES BRANCH
PUBLIC RECORDS DIVISION

PATENT ASSIGNMENT

Electronic Version v1.1
Stylesheet Version v1.1

10/03/2006
500159622

SUBMISSION TYPE:	CORRECTIVE ASSIGNMENT
NATURE OF CONVEYANCE:	Corrective Assignment to correct the Assignee's Address previously recorded on Reel 018273 Frame 0504. Assignor(s) hereby confirms the Assignment.
CONVEYING PARTY DATA	
Name	Execution Date
Xin Yun Huang	07/31/2006
RECEIVING PARTY DATA	
Name:	Cornell Research Foundation, Inc.
Street Address:	20 Thornwood Drive
Internal Address:	Suite 105
City:	Ithaca
State/Country:	NEW YORK
Postal Code:	14850
PROPERTY NUMBERS Total: 1	
Property Type	Number
Application Number:	10551152
CORRESPONDENCE DATA	
Fax Number:	(617)248-4000
<i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i>	
Phone:	617-248-5150
Email:	mwilson@choate.com
Correspondent Name:	Nadege M. Lagneau, Ph.D.
Address Line 1:	Two International Place
Address Line 4:	Boston, MASSACHUSETTS 02110
ATTORNEY DOCKET NUMBER:	2003080-0205
NAME OF SUBMITTER:	Nadege M. Lagneau
Total Attachments: 2 source=MSKCC 0205-Assignment (Cornell)#page1.tif source=MSKCC 0205-Assignment (Cornell)#page2.tif	

OP \$40.00 10551152

ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, the undersigned **Xin-Yun Huang** of New York, NY, hereby

Sells, assigns and transfers to **CORNELL RESEARCH FOUNDATION, INC.**, having a place of business at **20 Thornwood Drive, Suite 105, Ithaca, NY 14850**, its successors, assigns and legal representatives, all hereinafter referred to as the ASSIGNEE, his/her entire right, title and interest for the United States and all foreign countries; in and to any and all inventions which are disclosed in the application for United States Letters Patent entitled **"MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF"** as follows:

- 1) U.S.S.N. 10/551,152, filed September 28, 2005 Under 35 U.S.C. §371; and
- 2) PCT/US2004/009380, filed March 26, 2006 in the RO/US.

in and to said application and all divisional, continuing, substitute, renewal, reissue, reexamination or other application for Letters Patent which has been or shall be filed in the United States or any foreign country on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in each foreign country and all rights to priority.

Agrees that said ASSIGNEE may apply for and receive Letters Patent for said inventions in its own name and when requested, without charge to but at the expense of said ASSIGNEE, agrees to carry out in good faith the intent and purpose of this Assignment by executing all divisional, continuing, substitute, renewal, reissue, reexamination and all other patent applications on any and all said inventions; by executing all rightful declarations, oaths, assignments and other papers; by communicating to said ASSIGNEE all facts known to him/her relating to said inventions and the history thereof, and generally by doing everything possible which said ASSIGNEE shall consider desirable for aiding in securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said ASSIGNEE. Each of the undersigned also hereby appoints ASSIGNEE as its common agent for purposes of prosecuting international patent applications and any material patent applications for which such common agency is recognized.

Hereby requests the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said ASSIGNEE.

Covenants with said ASSIGNEE that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by him/her, and that full right to convey the same as herein expressed is possessed by him/her.

IN WITNESS WHEREOF, I hereto set my hand and seal at NEW YORK,
NEW YORK this 31st day of JULY, 2006.


Name: Xin-Yun Huang

STATE OF NEW YORK
SS.
COUNTY OF NEW YORK

Before me this 31st day of JULY, 2006, personally appeared
XIN-YUN HUANG known to me to be the person whose name is subscribed to the foregoing
Assignment and acknowledged that he/she executed the same as his/her free act and deed for the
purposes therein contained.


NOTARY PUBLIC

[Notary's Seal Here]

My Commission Expires: 02/07/09

LUCIANNE THANTU
Notary Public, State of New York
No. 01TH6122144
Qualified in New York County
Commission Expires February 07, 2009



ATTORNEY'S DOCKET NUMBER: 2003080-0205 (SK-1071-US2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Danishefsky, <i>et al.</i>	Examiner:	Not yet assigned
Serial No.:	10/551,152	Art Unit:	Not yet assigned
Filing Date:	September 28, 2005	Conf. No:	Not yet assigned
Title:	MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

APPOINTMENT OF ATTORNEY

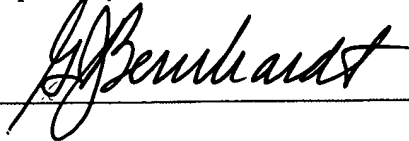
The undersigned hereby appoints:

The attorneys/agents associated with Customer No. 24280 as its attorneys and agents for prosecution of matters relating to the above-identified patent application and to conduct all business in the United States Patent and Trademark Office.

All correspondence should be sent to:

CHOATE, HALL & STEWART, LLP
Patent Department
Two International Place
Boston, Massachusetts 02110

Respectfully submitted,



Name: Gustave J. Bernhardt
Director,
Title: Research Resources Management

Dated: 9/27/06
On behalf of: Sloan-Kettering Institute for Cancer
Research



ATTORNEY'S DOCKET NUMBER: 2003080-0205 (SK-1071-US2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Danishefsky, <i>et al.</i>	Examiner:	Not yet assigned
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Title:	MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**WRITTEN ASSERTION OF SMALL ENTITY STATUS
UNDER 37 CFR §1.27(a)(3)
NON-PROFIT ORGANIZATION**

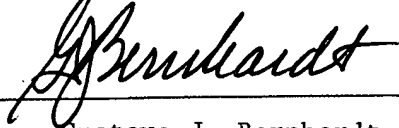
The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of **Sloan-Kettering Institute for Cancer Research**.

This assertion is made on the ground that **Sloan-Kettering Institute for Cancer Research** is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or

(d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country, and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,



Name: Gustave J. Bernhardt

Director,

Title: ~~Research Resources Management~~

On behalf of SLOAN-KETTERING INSTITUTE

FOR CANCER RESEARCH

Date: 9/27/06



ATTORNEY'S DOCKET NUMBER: 2003080-0205 (SK-1071-US2)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Danishefsky, <i>et al.</i>	Examiner:	Not yet assigned
Serial No.:	10/551,152	Art Unit:	Not yet assigned
Filing Date:	September 28, 2005	Conf. No:	Not yet assigned
Title:	MIGRASTATIN ANALOG COMPOSITIONS AND USES THEREOF		

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**WRITTEN ASSERTION OF SMALL ENTITY STATUS
UNDER 37 CFR §1.27(a)(3)
NON-PROFIT ORGANIZATION**

The undersigned hereby asserts entitlement to small entity status as a non-profit organization under 37 CFR §1.27(a)(3) on behalf of **Cornell Research Foundation, Inc.**

This assertion is made on the ground that **Cornell Research Foundation, Inc** is either:

- (a) a university or other institution of higher education located in any country;
- (b) an organization of the type described in section 501(c)(3) of the Internal Revenue Code of 1986 (26 USC §501(c)(3)) and exempt from taxation under section 501(a) of the Internal Revenue Code (26 USC §501(a));
- (c) any nonprofit scientific or educational organization qualified under a nonprofit organization statute of a state of this country (35 USC §201(i)); or

(d) any nonprofit organization, located in a foreign country, that would qualify as a nonprofit organization under paragraphs (b) or (c) above if it were located in this country, and *has not* assigned, granted, conveyed, or licensed, and is under no obligation under contract or law to assign, grant, convey, or license, any rights in the above-referenced patent application to any party who does not qualify for small entity status either as a person, a small business concern, or a nonprofit organization under 37 CFR §1.27.

Respectfully Submitted,

Brian Kelly

Name: Brian Kelly

Vice President

Title: _____

On behalf of CORNELL RESEARCH
FOUNDATION, INC.

Date: July 31, 2006